



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:	)	Examiner: KEMMERER, ELIZABETH
	)	
BOTSTEIN, et al.	)	Art Unit: 1646
	)	
Application Serial No. 09/993,687	)	Attorney's Docket No. 39780-2730P1C11
	)	
Filed: November 14, 2001	)	Customer No. 35489
	)	
For: <b>SECRETED AND TRANSMEMBRANE</b>	)	
<b>POLYPEPTIDES AND NUCLEIC ACIDS</b>	)	
<b>ENCODING THE SAME</b>	)	

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**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

**MAIL STOP RCE**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If Form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with M.P.E.P. §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --
- ☒ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

- ☐ a certification as specified in §1.97(e) is provided below; or
- ☐ a fee of **\$180.00** as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

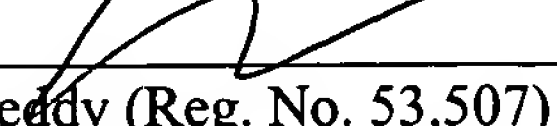
- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of **\$0.00** and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. **08-1641 (Attorney's Docket No. 39780-2730P1C11).**

Respectfully submitted,

(Panpan Gao  
43.626)

Dated: June 29, 2006

By:   
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on behalf  
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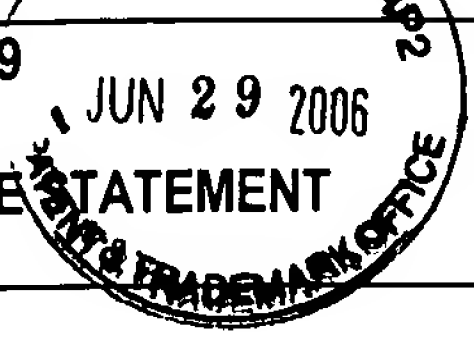
Form PTO-1449		Attorney's Docket No. 39780-2730P1C11		Application Serial No. 09/993,687				
INFORMATION DISCLOSURE STATEMENT		Applicant(s)  BOTSTEIN, et al.						
(use several sheets if necessary)		Filing Date: November 14, 2001		Group Art Unit: 1646				
<b>U.S. PATENT DOCUMENTS</b>								
Examiner Initials	Ref. No.	Date	Document No.	Name	Filing Date (if appropriate)			
<b>FOREIGN PATENT DOCUMENTS</b>								
Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Translation	
<b>OTHER DOCUMENTS</b> (including author, title, date, pertinent pages, etc.)								
Examiner Initials	Ref. No.	Title						
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	5.	Bea, S., et al., "BMI-1 Gene Amplification and Overexpression in Hematological Malignancies Occur Mainly in Mantle Cell Lymphomas," <i>Cancer Res.</i> - 61(6):2409-12 (2001) Abstract.						
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Form PTO-1449 <b>INFORMATION DISCLOSURE STATEMENT</b> (use several sheets if necessary)		 Attorney's Docket No. 39780-2730P1C11 Applicant(s) BOTSTEIN, et al.	Application Serial No. 09/993,687 Filing Date: November 14, 2001 Group Art Unit: 1646
<b>OTHER DOCUMENTS</b> (including author, title, date, pertinent pages, etc.)			
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56.	Khal, J., et al., "Increased Expression of Proteasome Subunits in Skeletal Muscle of Cancer Patients with Weight Loss," <i>Int J. Biochem Cell Biol</i> - 37(10):2196-206 (2005) Abstract.

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**OTHER DOCUMENTS**

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64.	Lemstrom, K.B., et al., "Vascular Endothelial Growth Factor Enhances Cardiac Allograft Arteriosclerosis," <i>Circulation</i> - 105(21):2524-30 (2002) Abstract.
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